



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Vargas, Jaime et al.  
Assignee: Cardica, Inc.  
Title: Integrated Anastomosis System  
Serial No.: 10/057,795 Filing Date: January 23, 2002  
Examiner: Not yet assigned Group Art Unit: 3739  
Docket No.: 101

*L. Park*  
*5-15-02*  
*#4/Pre*  
*Quint. A*

Menlo Park, California  
May 2, 2002

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

RECEIVED  
MAY 13 2002  
103700 MAIL ROOM

**PRELIMINARY AMENDMENT**

Dear Sir:

Please amend the specification as follows:

Specification, page 1, lines 14-16:

*a*  
This patent application is a continuation-in-part of the U.S. Patent Application filed on January 22, 2002 entitled "Method and Apparatus for Creating an Opening in a Tubular Vessel," serial number 10/054,745.

**REMARKS**

This amendment to the specification deletes the identification of the attorney docket number of the parent application, and adds the serial number of the parent application, which was not known at the time this application was filed.

In support of this change, a copy of the filing receipt for the parent application, serial no. 10/054,745, is enclosed. The filing receipt shows that serial number 10/054,745 corresponds to Cardica's attorney docket no. 057, and to the title "Method and Apparatus for



05-03-02

3739

Docket No.: 101

May 2, 2002

Box Amendment  
Commissioner for Patents  
Washington, DC 20231

Re: Applicant(s): Vargas, Jaime S.; et. al.  
Assignee: Cardica, Inc.  
Title: Integrated Anastomosis System  
Serial No.: 10/057,795  
Examiner: Not yet assigned  
Docket No.: 101

Filed: January 23, 2002  
Group Art Unit: 3739

RECEIVED  
MAY 13 2002  
TC3700 MAIL ROOM

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Preliminary Amendment; and
- (3) Return postcard.

Please charge Deposit Account 502108 for any fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box Amendment, Commissioner For Patents, Washington, D.C. 20231, on May 2, 2002.

Attorney for Applicant(s)

May 2, 2002  
Date of Signature

EXPRESS MAIL NO. EV060921678US

Respectfully submitted,

Brian A. Schar  
Reg. No. 45,076  
Chief Patent Counsel  
Cardica, Inc.  
171 Jefferson Drive  
Menlo Park, CA 94025